

02-13-02

A

Practitioner's Docket No. NDRLASERUS

PATENT

Preliminary Classification:
Proposed Class: 372
Subclass: 92

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application
Commissioner for Patents
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) AND 1.10*
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I hereby certify that, on the date shown below, this correspondence is being:

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Date: 2/11/02

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Thomas P. O'Connell
(type or print name of person certifying)

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(New Application Transmittal--page 1)

Inventor(s): Victor Lazarev; Zhijiang Hang

For (title): HIGH-POWER SURFACE EMITTING LASER AND FABRICATION METHOD
THEREOF

1. Type of Application

This transmittal is for an original (nonprovisional) application.

2. Papers Enclosed

A. Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design)
Application

17 Page(s) of Specification

9 Page(s) of Claims

7 Sheet(s) of Drawing(s)--Formal

B. Other Papers Enclosed

1 Page(s) of abstract

3. Declaration or Oath

Not Enclosed.

Application is made by a person authorized under 37 C.F.R. Section 1.41(c) on
behalf of all of the above-named inventors.

4. Inventorship Statement

The inventorship for all the claims in this application is the same.

5. Language

English

6. **Fee Calculation (37 C.F.R. Section 1.16)**

Regular Application

CLAIMS AS FILED					
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$740.00
Total Claims (37 CFR 1.16(c))	39	- 20 =	19 x	\$18.00	\$342.00
Independent Claims (37 CFR 1.16(b))	5	- 3 =	2 x	\$84.00	\$168.00
Multiple Dependent Claim(s), if any (37 CFR 1.16(d))			+	\$270.00	\$0.00
Filing Fee Calculation					\$1,250.00

7. **Small Entity Statement(s)**

Statement that this is a filing by a small entity under 37 C.F.R. Sections 1.9 and 1.27 is attached.

Filing Fee Calculation (50% of above) \$625.00

8. **Fee Payment Being Made at This Time**

Enclosed

Filing Fee \$625.00

Total Fees Enclosed \$625.00

(New Application Transmittal--page 3)

9. **Method of Payment of Fees**

Check in the amount of \$625.00 is attached.

10. **Instructions as to Overpayment**

Refund.

**ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF
PRIOR U.S. APPLICATION CLAIMED**

11. **Relate Back**

Amend the specification by inserting, before the first line, the following sentence:

A. **35 U.S.C. Section 119(e)**

"This application claims the benefit of U.S. Provisional Application No.:

APPLICATION NO.

60/267,905

FILING DATE

02/10/2001

12. **Further Inventorship Statement Where Benefit of Prior Application(s) Claimed**

a. This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventors in this application are the same.

Date: 02/11/02

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